

STATE SURVEY CHECKLIST

<u>PROGRAM TYPE</u>	<u>BUDGET AREA</u>	
SUBSTANCE ABUSE PREVENTION, TREATMENT, RESEARCH & EVALUATION PROGRAMS	HUMAN AND SOCIAL SERVICES	<input type="checkbox"/>
INCOME SUPPORT PROGRAMS	HUMAN AND SOCIAL SERVICES	<input type="checkbox"/>
CHILD WELFARE PROGRAMS	HUMAN AND SOCIAL SERVICES	<input type="checkbox"/>
OTHER SOCIAL SERVICE PROGRAMS	HUMAN AND SOCIAL SERVICES	<input type="checkbox"/>
DEVELOPMENTAL DISABILITIES & MENTAL HEALTH	DEVELOPMENTAL DISABILITIES & MENTAL HEALTH	<input type="checkbox"/>
SUBSTANCE ABUSE PREVENTION, TREATMENT, RESEARCH & EVALUATION	HEALTH	<input type="checkbox"/>
HEALTH SERVICES FOR SPECIAL POPULATIONS	HEALTH	<input type="checkbox"/>
HEALTH INSURANCE PROGRAMS	HEALTH	<input type="checkbox"/>
EDUCATION	EDUCATION	<input type="checkbox"/>
JUVENILE CORRECTIONS PROGRAMS	CORRECTIONS	<input type="checkbox"/>
ADULT CORRECTIONS PROGRAMS	CORRECTIONS	<input type="checkbox"/>
PUBLIC SAFETY	PUBLIC SAFETY	<input type="checkbox"/>
JUDICIARY	JUDICIARY	<input type="checkbox"/>
REGULATION/COMPLIANCE	REGULATION/COMPLIANCE	<input type="checkbox"/>
CAPITAL SPENDING	CAPITAL SPENDING	<input type="checkbox"/>
STATE WORKFORCE	STATE WORKFORCE	<input type="checkbox"/>

State Name: _____

Survey Coordinator: _____

Telephone Number: _____

STATE SURVEY OVERVIEW
THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE
AT COLUMBIA UNIVERSITY

SUBSTANCE ABUSE AND STATE BUDGETS PROJECT

The National Center on Addiction and Substance Abuse at Columbia University is conducting a survey to determine the cost of substance abuse to state governments. We greatly appreciate your cooperation in filling out this survey.

The survey is broken into the following ten broad budget areas to simplify the completion process: human and social services, developmental disabilities and mental health, health, education, corrections, public safety, judiciary, regulatory/compliance, capital spending and state workforce. The survey categories are organized by program type within these main budget areas for a total of 16 survey categories, as listed below.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at kkeneipp@casacolumbia.org. Thank you.

HUMAN/SOCIAL SERVICES BUDGET

1. SUBSTANCE ABUSE PREVENTION, TREATMENT, RESEARCH AND EVALUATION PROGRAMS

- Substance Abuse Prevention Programs
- Substance Abuse Treatment Programs
- Substance Abuse Research
- Substance Abuse Program Evaluation

2. INCOME SUPPORT PROGRAMS

- Temporary Assistance to Needy Families (TANF)
- General Assistance
- State Supplements to Supplemental Security Income Program (SSI)

3. CHILD WELFARE PROGRAMS

- Adoption Assistance, Foster Care, and Independent Living Programs
- Child Welfare, Family Preservation and Support, and Indian Child Welfare
- Other Child Welfare Programs
- Child Abuse and Neglect Intake and Assessment

4. OTHER SOCIAL SERVICE PROGRAMS

- Developmental Disabilities Programs
- Mental Health Programs
- Special Needs Programs

DEVELOPMENTAL DISABILITIES AND MENTAL HEALTH BUDGET

5. DEVELOPMENTAL DISABILITIES AND MENTAL HEALTH

- Developmental Disabilities Programs
- Mental Health Programs

HEALTH BUDGET

6. SUBSTANCE ABUSE PREVENTION, TREATMENT, RESEARCH AND EVALUATION PROGRAMS

- Substance Abuse Prevention Programs
- Substance Abuse Treatment Programs
- Substance Abuse Research
- Substance Abuse Program Evaluation

7. HEALTH SERVICES FOR SPECIAL POPULATIONS

- Developmental Disabilities Programs
- Mental Health Programs
- Other Programs for People with Special Health Needs

8. HEALTH INSURANCE PROGRAMS

- Medicaid
- General Assistance Medical Care
- Other Health Insurance Programs

EDUCATION BUDGET

9. EDUCATION

- Substance Abuse Prevention Programs
- Substance Abuse Treatment Programs
- Categorical Spending for Programs for At-Risk Children
- Total K-12 Spending

CORRECTIONS BUDGET

10. JUVENILE CORRECTIONS PROGRAMS

- Juvenile Detention/Correction and Related Programs

11. ADULT CORRECTIONS PROGRAMS

- Total Prison Costs
- Parole/Early Release and Other Similar Programs
- Probation and Other Alternatives to Incarceration
- Categorical Aid to Localities

PUBLIC SAFETY BUDGET

12. PUBLIC SAFETY

- Special Drug Enforcement Programs
- Highway Safety and Accident Prevention Programs
- State Highway Patrol
- Local Law Enforcement Programs

JUDICIARY BUDGET

13. JUDICIARY PROGRAMS

- Drug Courts and Other Special Programs for Violations of Drug Laws
- Criminal Courts
- Family and Juvenile Courts
- State Aid to Localities for Criminal Courts and Family Courts

REGULATION/COMPLIANCE BUDGET

14. REGULATION/COMPLIANCE PROGRAMS

- Alcohol and Tobacco Licensing and Control Boards
- Collection of Alcohol and Tobacco Taxes

CAPITAL SPENDING

15. CAPITAL SPENDING

STATE WORKFORCE BUDGET

16. STATE GOVERNMENT WORKFORCE SPENDING

**1. SUBSTANCE ABUSE PREVENTION, TREATMENT,
RESEARCH AND EVALUATION PROGRAMS**

within

HUMAN AND SOCIAL SERVICES BUDGET

HUMAN AND SOCIAL SERVICES BUDGET
Instructions for Substance Abuse Prevention, Treatment,
Research and Evaluation Programs

Instructions: Provide the amount of state dollars budgeted in the fiscal year ending in 2005 (FY 2005), in actual of dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.
2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for substance abuse prevention, treatment, research and evaluation programs with the human and social services budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. (For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.)
3. Include **all** program costs (not just substance abuse related costs) including the costs of caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, and contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel; a rough estimate is all that is necessary.
4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.
5. To avoid double counting, list only the spending for the programs that fall within the human/social services budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).
6. Do not include publicly funded health insurance programs. (In particular, do not include Medicaid spending).
8. Break out your spending into the following categories, if possible: drugs, alcohol and tobacco.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

HUMAN/SOCIAL SERVICES BUDGET
Substance Abuse Prevention, Treatment,
Research and Evaluation Program Descriptions

Substance Abuse Prevention Programs

Description: Any program that provides alcohol, illicit or prescription drug and/or tobacco use prevention services. This includes but is not limited to information dissemination (including state-wide media campaigns), prevention education, local prevention networks, interagency coordination of prevention programs, and community-based planning. Programs that provide education, training and resources to local and non-profit organizations are also included.

Substance Abuse Treatment Programs

Description: Any program that provides intervention services and/or treatment for alcohol, illicit or prescription drug and/or tobacco abuse. This includes but is not limited to individual counseling, treatment facilities, out-patient care programs, and programs that provide education, training and resources to local and non-profit organizations. Please include programs for pregnant women, fetal alcohol syndrome babies or similar programs. Include direct state spending as well as state funds provided to local governments for treatment. Multi-agency task forces also are included. Please be sure to include any tobacco use cessation programs with the goal of helping tobacco users quit and overcome nicotine addiction; this also includes any program that provides treatment for tobacco users and any program that provides information and support for people quitting.

Substance Abuse Research Programs

Description: Any program to conduct or support medical, behavioral, policy or other research related to substance abuse.

Substance Abuse Program Evaluation

Description: Any program that evaluates substance abuse programs in order to determine program effectiveness and efficiency. Please include needs assessment, cost/benefit analyses, and goals and outcomes of evaluation.

HUMAN AND SOCIAL SERVICES BUDGET
State Spending on Substance Abuse Prevention, Treatment,
Research and Evaluation Programs

Agency Name: _____ Total State Budget for this Agency: _____

		AMOUNT BUDGETED FY 2005		
		Total State Funds (in actual dollars)		
PROGRAM NAME		(General Fund and Non-General Fund)		COMMENTS
1. Substance Abuse Prevention Programs	Total All Substances:			
	Total Alcohol:			
	Total Illicit Drug:			
	Total Tobacco:			
Specific Program Names:				
a.				
b.				
c.				
d.				
e.				
2. Substance Abuse Treatment Programs	Total All Substances:			
	Total Alcohol:			
	Total Illicit Drug:			
	Total Tobacco:			
Specific Program Names:				
a.				
b.				
c.				
d.				
e.				

3. Substance Abuse Research	Total All Substances:		
	Total Alcohol:		
	Total Illicit Drug:		
	Total Tobacco:		
Specific Program Names:			
a.			
b.			
c.			
d.			
e.			
4. Substance Abuse Program Evaluation	Total All Substances:		
	Total Alcohol:		
	Total Illicit Drug:		
	Total Tobacco:		
Specific Program Names:			
a.			
b.			
c.			
d.			
e.			

2. INCOME SUPPORT PROGRAMS

within

HUMAN AND SOCIAL SERVICES BUDGET

HUMAN AND SOCIAL SERVICES BUDGET

Instructions for Income Support Programs

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.
2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for income support programs within the human and social services budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. (For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.)
3. Include **all** program costs (not just substance abuse related costs) including the costs of caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, and contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel; a rough estimate is all that is necessary.
4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.
5. To avoid double counting, list only the spending for the programs that fall within the human/social services budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).
6. Do not include publicly funded health insurance programs. (In particular, do not include Medicaid spending).

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

HUMAN AND SOCIAL SERVICES Income Support Program Descriptions

Temporary Assistance to Needy Families (TANF)

Description: This program was established to take the place of Aid to Families with Dependent Children (AFDC), Emergency Assistance to Needy Families and Job Opportunities and Basic Skills Training (JOBS). TANF provides block grants to states for economic assistance to people transitioning to work. Include spending on TANF-related employment programs.

Special Instructions: Please briefly describe the eligible population for TANF and any special programs within TANF such as Emergency Assistance.

General Assistance

Description: Any program that provides income assistance to families or individuals who do not qualify for benefits from other income support programs.

Special Instructions: If eligibility is determined state-wide, please briefly describe the eligible population and specify if substance abusers are explicitly excluded from the program. If you can not provide General Assistance eligibility because it is a local decision, please indicate this in the comments.

State Supplements to Supplemental Security Income Program (SSI)

Description: Any program that provides basic income maintenance for the aged, blind, and disabled. This also includes programs that are designed to provide income maintenance for those individuals who have AIDS or are HIV positive.

Special Instructions: If substance abusers are explicitly excluded from participating in this program, please specify.

HUMAN AND SOCIAL SERVICES BUDGET

State Spending on Income Support Programs

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005 Total State Funds (in actual dollars) (General Fund and Non-General Fund)	COMMENTS/ELIGIBILITY
1. Temporary Assistance to Needy Families (TANF): <i>Please briefly describe the eligible population for TANF and any special programs within TANF such as Emergency Assistance.</i>		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
2. General Assistance: <i>If eligibility is determined state-wide, please briefly describe the eligible population and specify if substance abusers are explicitly excluded from the program. If you can not provide General Assistance eligibility because it is a local decision, please indicate this in the comments.</i>		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
3. State Supplements to Supplemental Security Income Program (SSI): <i>Please specify if substance abusers are excluded from participating in this program.</i>		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		

3. CHILD WELFARE PROGRAMS

within

HUMAN AND SOCIAL SERVICES BUDGET

HUMAN AND SOCIAL SERVICES BUDGET

Instructions for Child Welfare Programs

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.
2. Separately identify capital spending (actuals or estimated actuals, not appropriations) for **child welfare programs within the human and social services budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.
3. Include **all** program costs (not just substance abuse related costs) including the costs of caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, and contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel; a rough estimate is all that is necessary.
4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.
5. To avoid double counting, list only the spending for the programs that fall within the human/social services budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).
6. Do not include publicly funded health insurance programs. (In particular, do not include Medicaid spending).

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

HUMAN AND SOCIAL SERVICES BUDGET

Child Welfare Program Descriptions

Adoption Assistance, Foster Care, and Independent Living Programs

Description: Any program in the areas of adoption assistance, foster care, and independent living. This includes programs that receive funds under Title IV-E of the Social Security Act, but also those that are state-only programs. Adoption and foster care placement services and any training and skills-building programs related to these areas are included.

Child Welfare, Family Preservation and Support, and Indian Child Welfare

Description: Any program that is intended to prevent out-of-home placements, promote reunification of families, or provide a safe environment for children. This includes programs that receive funds under Title IV-B of the Social Security Act, but also those that are state-only programs. All programs that fall under the area of child welfare, family preservation and Indian child welfare are included.

Other Child Welfare Programs

Description: Any non-IV-B and non-IV-E program that focuses on the areas of child abuse and neglect prevention, family/parent support, runaway/homeless intervention, medical neglect of children, crisis nurseries or any similar program. Prevention and treatment programs dealing with the issue of domestic violence and home visiting programs are included. This also includes programs that provide education, training and resources to local and non-profit prevention and intervention organizations in these areas. Programs that assist individuals and families with finding emergency services are also included.

Child Abuse and Neglect Intake and Assessment

Description: Any program that focuses on investigating and assessing child abuse and neglect complaints or reports.

HUMAN AND SOCIAL SERVICES BUDGET

State Spending on Child Welfare Programs

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005 Total State Funds (in actual dollars) (General Fund and Non-General Fund)	COMMENTS
1. Adoption Assistance, Foster Care, and Independent Living Programs		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
2. Child Welfare, Family Preservation, and Indian Child Welfare		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
3. Other Child Welfare Programs		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
4. Child Abuse and Neglect Intake and Assessment		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		

4. OTHER SOCIAL SERVICE PROGRAMS

within

HUMAN AND SOCIAL SERVICES BUDGET

HUMAN AND SOCIAL SERVICES BUDGET

Instructions for Other Social Services Programs

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.

2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for other social service programs within the human and social services budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.

3. Include **all** program costs (not just substance abuse related costs) including the costs of caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, and contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel; a rough estimate is all that is necessary.

4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.

5. To avoid double counting, list only the spending for the programs that fall within the human/social services budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).

6. Do not include publicly funded health insurance programs. (In particular, do not include Medicaid spending).

7. Break out your spending into the following categories, if possible: drugs, alcohol and tobacco.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

HUMAN AND SOCIAL SERVICES BUDGET

Descriptions for Other Social Services Programs

Developmentally Disabled Programs

Description: Any program that provides services to individuals with developmental disabilities and their families. This includes institutional facilities, out-patient care and programs that provide education, training and resources to local and non-profit organizations.

Special Instructions: Please identify any special spending on fetal alcohol syndrome (FAS) and fetal alcohol spectrum disorder (FASD) programs and facilities **separately**. This number also should be included within the **total spending** for developmentally disabled programs. Please identify any other special spending on substance abuse prevention and treatment programs and facilities **separately**. This number should be included within the **total spending** for developmentally disabled programs.

Mental Health Programs

Description: Any program that provides prevention and/or intervention services to the mentally ill and their families. This includes treatment facilities, out-patient care and programs that provide education, training and resources to local and non-profit organizations. Any substance abuse prevention and treatment programs and facilities in the area of mental health also are also included.

Special Instructions: Please identify any special spending on substance abuse prevention and treatment programs and facilities separately. This number also should be included within the **total spending** for mental health programs.

Other Programs for People with Special Needs

Description: Any program that provides social services for people with substance abuse related conditions that are not covered by other programs in other areas of the human and social services budget. This includes but is not limited to children with special needs, and prevention and treatment programs for individuals with HIV/AIDS, sexually transmitted diseases and tuberculosis.

Special Instructions: Please identify any special spending on substance abuse prevention and treatment programs and facilities **separately**. This number, however, also should be included within the **total spending** for other programs for people with special needs.

HUMAN AND SOCIAL SERVICES BUDGET State Spending on Other Social Services Programs

Agency Name: _____

Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005 Total State Funds (in actual dollars) (General Fund and Non-General Fund)	COMMENTS
<p>1. Developmentally Disabled Programs: <i>Please identify any special spending on fetal alcohol syndrome (FAS) and fetal alcohol spectrum disorder (FASD) programs and facilities separately. This number also should be included within the total spending for developmentally disabled programs. Please identify any other special spending on substance abuse prevention and treatment programs and facilities separately. This number should be included within the total spending for developmentally disabled programs.</i></p>		
<p>Specific Program Names:</p>		
a.		
b.		
c.		
d.		
e.		
<p>2. Mental Health Programs: <i>Please identify any special spending on substance abuse prevention and treatment programs and facilities separately, but this number should also be included within the total spending for mental health programs.</i></p>		
<p>Specific Program Names:</p>		
a.		
b.		
c.		
d.		
e.		

<p>3. Mental Health Programs: <i>Please identify any special spending on substance abuse prevention and treatment programs and facilities separately, but this number should also be included within the total spending for mental health programs.</i></p>		
<p>Specific Program Names:</p>		
<p>a.</p>		
<p>b.</p>		
<p>c.</p>		
<p>d.</p>		
<p>e.</p>		

**5. DEVELOPMENTALLY DISABLED AND
MENTAL HEALTH PROGRAMS**

within

DEVELOPMENTAL DISABILITIES AND MENTAL HEALTH BUDGET

DEVELOPMENTAL DISABILITIES AND MENTAL HEALTH BUDGET Instructions for Developmentally Disabled and Mental Health Programs

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.
2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for developmentally disabled and mental health programs within the developmental disabilities and mental health budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.
3. Include **all** program costs (not just substance abuse related costs) including the costs of caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, and contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel; a rough estimate is all that is necessary.
4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.
5. To avoid double counting, list only the spending for the programs that fall within the human/social services budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).
6. Do not include publicly funded health insurance programs. (In particular, do not include Medicaid spending).
7. Break out your spending into the following categories, if possible: drugs, alcohol and tobacco.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

DEVELOPMENTALLY DISABLED AND MENTAL HEALTH

Descriptions for Developmentally Disabled and Mental Health Services

Developmentally Disabled Programs

Description: Any program that provides services to individuals with developmental disabilities and their families. This includes institutional facilities, out-patient care and programs that provide education, training and resources to local and non-profit organizations.

Special Instructions: Please identify any special spending on fetal alcohol syndrome (FAS) and fetal alcohol spectrum disorder (FASD) programs and facilities separately. This number also should be included within the total spending for developmentally disabled programs. Please identify any other special spending on substance abuse prevention and treatment programs and facilities **separately**. This number should be included within the total spending for developmentally disabled programs.

Mental Health Programs

Description: Any program that provides prevention and/or intervention services to the mentally ill and their families. This includes treatment facilities, out-patient care and programs that provide education, training and resources to local and non-profit organizations. Any substance abuse prevention and treatment programs and facilities in the area of mental health also are included.

Special Instructions: Please identify any special spending on substance abuse prevention and treatment programs and facilities **separately**. This number also should be included within the **total spending** for mental health programs.

Other Programs for People with Special Needs

Description: Any program that provides social services for people with substance abuse related conditions that are not covered by other programs in other areas of the human and social services budget. This includes but is not limited to children with special needs, and prevention and treatment programs for individuals with HIV/AIDS, sexually transmitted diseases and tuberculosis.

Special Instructions: Please **identify any special spending on substance abuse prevention and treatment programs and facilities separately**. This number, however, also should be included within the **total spending** for other programs for people with special needs.

DEVELOPMENTAL DISABILITIES AND MENTAL HEALTH BUDGET State Spending on Developmentally Disabled and Mental Health Programs

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005 Total State Funds (in actual dollars) (General Fund and Non-General Fund)	COMMENTS
<p>1. Developmentally Disabled Programs: <i>Please identify any special spending on fetal alcohol syndrome (FAS) and fetal alcohol spectrum disorder (FASD) programs and facilities separately. This number also should be included within the total spending for developmentally disabled programs. Please identify any other special spending on substance abuse prevention and treatment programs and facilities separately. This number should be included within the total spending for developmentally disabled programs.</i></p>		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
<p>2. Mental Health Programs: <i>Please identify any special spending on substance abuse prevention and treatment programs and facilities separately, but this number should also be included within the total spending for mental health programs.</i></p>		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		

<p>3. Other Programs for People with Special Needs: <i>Please identify any special spending on substance abuse prevention and treatment programs and facilities separately. This number, however, also should be included within the total spending for other programs for people with special needs.</i></p>		
<p>Specific Program Names:</p>		
<p>a.</p>		
<p>b.</p>		
<p>c.</p>		
<p>d.</p>		
<p>e.</p>		

**6. SUBSTANCE ABUSE PREVENTION, TREATMENT
RESEARCH AND EVALUATION PROGRAMS**

within

HEALTH BUDGET

HEALTH BUDGET

Instructions for Substance Abuse Prevention, Treatment, Research and Evaluation Programs

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.
2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for substance abuse prevention, treatment, research and evaluation within the health budget.** Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.
3. Include **all** program costs (not just substance abuse related costs) including the costs of caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, and contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel; a rough estimate is all that is necessary.
4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.
5. To avoid double counting, list only the spending for the programs that fall within the human/social services budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).
6. Do not include publicly funded health insurance programs. (In particular, do not include Medicaid spending).
7. Break out your spending into the following categories, if possible: drugs, alcohol and tobacco.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

HEALTH BUDGET

Descriptions for Substance Abuse Prevention, Treatment, Research and Evaluation Programs

Substance Abuse Prevention Programs

Description: Any program that provides alcohol, illicit or prescription drug and/or tobacco use prevention services. This includes but is not limited to information dissemination (including state-wide media campaigns), prevention education, local prevention networks, interagency coordination of prevention programs, and community-based planning. Programs that provide education, training and resources to local and non-profit organizations are also included.

Substance Abuse Treatment Programs

Description: Any program that provides intervention services and/or treatment for alcohol, illicit or prescription drug and/or tobacco abuse. This includes but is not limited to individual counseling, treatment facilities, out-patient care programs, and programs that provide education, training and resources to local and non-profit organizations. Please include programs for pregnant women, fetal alcohol syndrome babies or similar programs. Include direct state spending as well as state funds provided to local governments for treatment. Multi-agency task forces also are included. Please be sure to include any tobacco use cessation programs with the goal of helping tobacco users quit and overcome nicotine addiction; this also includes any program that provides treatment for tobacco users and any program that provides information and support for people quitting.

Substance Abuse Research

Description: Any program to conduct or support medical, behavioral, policy, or other research related to substance abuse.

Substance Abuse Program Evaluation

Description: Any program that evaluates substance abuse programs in order to determine program effectiveness and efficiency. Program evaluation can also include needs assessment, cost/benefit analysis, and goals and outcomes evaluation.

HEALTH BUDGET

State Spending on Substance Abuse Prevention, Treatment, Research and Evaluation Programs

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005		COMMENTS
	Total State Funds (in actual dollars) (General Fund and Non-General Fund)		
1. Substance Abuse Prevention Programs	Total All Substances:		
	Total Alcohol:		
	Total Illicit Drug:		
	Total Tobacco:		
Specific Program Names:			
a.			
b.			
c.			
d.			
e.			
2. Substance Abuse Treatment Programs	Total All Substances:		
	Total Alcohol:		
	Total Illicit Drug:		
	Total Tobacco:		
Specific Program Names:			
a.			
b.			
c.			
d.			
e.			
3. Substance Abuse Research	Total All Substances:		
	Total Alcohol:		
	Total Illicit Drug:		
	Total Tobacco:		
Specific Program Names:			
a.			
b.			
c.			
d.			

e.			
4. Substance Abuse Program Evaluation	Total All Substances:		
	Total Alcohol:		
	Total Illicit Drug:		
	Total Tobacco:		
Specific Program Names:			
a.			
b.			
c.			
d.			
e.			

7. HEALTH SERVICES FOR SPECIAL POPULATIONS

within

HEALTH BUDGET

HEALTH BUDGET

Instructions for Health Services for Special Populations

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.
2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for health services for special populations within the health budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.
3. Include **all** program costs (not just substance abuse related costs) including the costs of caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, and contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel; a rough estimate is all that is necessary.
4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.
5. To avoid double counting, list only the spending for the programs that fall within the human/social services budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).
6. Do not include publicly funded health insurance programs. (In particular, do not include Medicaid spending).
7. Break out your spending into the following categories, if possible: drugs, alcohol and tobacco.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

HEALTH BUDGET

Health Services for Special Populations Descriptions

Developmentally Disabled Programs

Description: Any program that provides services to individuals with developmental disabilities and their families. This includes institutional facilities, out-patient care and programs that provide education, training and resources to local and non-profit organizations.

Mental Health Programs

Description: Any program that provides prevention and/or intervention services to the mentally ill and their families. This includes treatment facilities, out-patient care and programs that provide education, training and resources to local and non-profit organizations. Any substance abuse prevention and treatment programs and facilities in the area of mental health also are also included.

Special Instructions: Please identify any special spending on substance abuse prevention and treatment programs and facilities **separately**. This number also should be included within the total spending for mental health programs.

Other Programs for People with Special Needs

Description: Any program that provides social services for people with substance abuse related conditions that are not covered by other programs in other areas of the human and social services budget. This includes but is not limited to children with special needs, and prevention and treatment programs for individuals with HIV/AIDS, sexually transmitted diseases and tuberculosis.

Special Instructions: Please identify any special spending on substance abuse prevention and treatment programs and facilities **separately**. This number, however, also should be included within the **total spending** for other programs for people with special needs.

HEALTH BUDGET

State Spending on Health Services for Special Populations

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005		COMMENTS
	Total State Funds (in actual dollars) (General Fund and Non-General Fund)		
1. Developmentally Disabled Programs	Total All Substances:		
	Total Alcohol:		
	Total Illicit Drug:		
	Total Tobacco:		
Specific Program Names:			
a.			
b.			
c.			
d.			
e.			
2. Mental Health Programs: <i>Please identify any special spending on substance abuse prevention and treatment programs and facilities separately. This number also should be included within the total spending for mental health programs.</i>	Total All Substances:		
	Total Alcohol:		
	Total Illicit Drug:		
	Total Tobacco:		
Specific Program Names:			
a.			
b.			
c.			
d.			
e.			

3. Other Programs for People with Special Needs: <i>Please identify any special spending on substance abuse prevention and treatment programs and facilities separately. This number, however, also should be included within the total spending for other programs for people with special needs.</i>	Total All Substances:		
	Total Alcohol:		
	Total Illicit Drug:		
	Total Tobacco:		
Specific Program Names:			
a.			
b.			
c.			
d.			
e.			

8. HEALTH INSURANCE PROGRAMS

within

HEALTH BUDGET

HEALTH BUDGET

Instructions for Health Insurance Programs

1. Provide the amount of state dollars budgeted in the fiscal years ending in 2003 (FY 2003) and in 2005 (FY 2005), in thousands of dollars, for the following programs on the attached worksheet. CASA will use the 2003 data you provide in conjunction with detailed data from HCFA for 2003 (the latest available) to develop estimates of substance abuse attributable fractions for Medicaid expenditures. CASA then will apply the 2003 fractions to your 2005 data. If you are interested, CASA would be pleased to provide information on this methodology to you once it is finalized and fully documented.

2. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending. Do not include capital spending.

3. Please provide the state share of Medicaid spending (exclude federal share and local, if any) by the following categories:

- Hospital
- Physician
- Institutional Long Term Care
- Home Care
- Managed Care*
- All Other

* If you can allocate managed care to the first four categories, please do so instead of providing it as a separate category.

4. To avoid double counting, list only the spending for the programs that fall within the health budget (see attached survey overview). Other department spending will be requested from other departments (e.g. human and social services).

5. Give total program spending, not just substance abuse-related costs.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

HEALTH BUDGET

Health Insurance Program Descriptions

Medicaid (Social Security Act, Title IV-E)

Description: Any program that receives funds under Title XIX of the Social Security Act and provides medical services to low-income persons who are aged, blind, disabled, members of families with dependent children, or certain other pregnant women and children.

General Assistance Medical Care

Description: Any health care program for people qualified under the state's general assistance program.

Special Instructions: Please briefly describe the eligible population.

Other Health Insurance Programs

Description: Any program that provides health insurance to individuals and/or their families not covered by public or private insurance.

Special Instructions: Please briefly describe the eligible population (e.g. children under 18).

HEALTH BUDGET

State Spending on Health Insurance Programs

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED Total State Funds (in actual dollars) (General Fund and Non-General Fund)			COMMENTS/ELIGIBILITY
	Provider Type	FY 2003	FY 2005	
1. Medicaid	Hospital			
	Physician			
	Institutional Long Term Care			
	Home Care			
	Managed Care			
	All Other			
	Total			
Specific Program Names:				
a.				
b.				
c.				
d.				
e.				
2. General Assistance Medical Care: <i>Please briefly describe the eligible population.</i>	Hospital			
	Physician			
	Institutional Long Term Care			
	Home Care			
	Managed Care			
	All Other			
	Total			
Specific Program Names:				
a.				
b.				
c.				
d.				
e.				

HEALTH BUDGET

State Spending on Health Insurance Programs

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED Total State Funds (in actual dollars) (General Fund and Non-General Fund)			COMMENTS/ELIGIBILITY
	Provider Type	FY 2003	FY 2005	
3. Other Health Insurance Programs: <i>Please briefly describe the eligible population (e.g. children under 18).</i>	Hospital			
	Physician			
	Institutional Long Term Care			
	Home Care			
	Managed Care			
	All Other			
	Total			
Specific Program Names:				
a.				
b.				
c.				
d.				
e.				

9. EDUCATION PROGRAMS

within

EDUCATION BUDGET

EDUCATION BUDGET

Instructions for Education Programs

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.

2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for education programs within the education budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. (For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.)

3. Include **all** state program costs (not just substance abuse-related costs) including the cost of teachers and staff at the schools, the cost of program administrators and/or policy analysts who spend the majority of their time on this program, the cost of supplies, contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel; a rough estimate of fringe benefit costs is all that is necessary.

4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.

5. To avoid double counting, list only the spending for the programs that fall within the education budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at <KKeneipp@casacolumbia.org>.

EDUCATION BUDGET

Education Program Descriptions

Total State Aid for K-12 Education

Description: Total state spending on K-12 education including all categorical spending. This includes all aid to localities for education. Any categorical spending for programs for at-risk children and other substance abuse-related spending also are included. Please include any spending on tobacco use prevention and cessation, including tobacco education and prevention programs, campaigns, and activities and any program that specifically targets children and teen smokers to help them quit.

Special Instructions: Please identify any categorical spending for programs for at-risk children and any other substance abuse-related spending separately. This number also should be included within the total spending for K-12 education. Please also provide us your state's definition of "at-risk children" if possible.

EDUCATION BUDGET

State Spending on Education Programs

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005 Total State Funds (in actual dollars) (General Fund and Non-General Fund)	COMMENTS
<p>1. Total State Aid for K-12 Education: <i>Please identify separately below:</i></p> <p><i>a) any categorical spending for programs for at-risk children; and</i></p> <p><i>b) any substance abuse-related spending separately.</i></p> <p><i>These amounts should also be included within the total state aid for K-12 education. Please also provide us your state's definition of "at-risk children" if possible.</i></p>		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		

10. JUVENILE CORRECTIONS PROGRAMS

within

CORRECTIONS BUDGET

CORRECTIONS BUDGET

Instructions for Juvenile Corrections Programs

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.
2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for juvenile corrections and related programs within the corrections budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.
3. Include **all** program costs (not just substance abuse related costs) including the costs of caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, and contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel; a rough estimate is all that is necessary.
4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.
5. To avoid double counting, list only the spending for the programs that fall within the human/social services budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).
6. Do not include publicly funded health insurance programs. (In particular, do not include Medicaid spending).
7. Break out your spending into the following categories, if possible: drugs, alcohol and tobacco.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

CORRECTIONS BUDGET

Juvenile Corrections and Related Program Descriptions

Juvenile Detention/Corrections and Related Programs

Description: Any program that provides resources that are used at the state and local level to reduce juvenile delinquency. This includes both juvenile detention and correction centers and early-intervention services for families and children. This includes psychiatric, education, job-training and juvenile camp programs. Programs that provide education, training and resources to local and non-profit organizations are also included. Any substance abuse prevention and treatment programs and facilities for juvenile prisoners are also included.

Special Instructions: Please identify any special spending on substance abuse prevention and treatment programs and facilities separately. This number, however, also should be included within the **total spending** for juvenile detention/correction and related programs.

CORRECTIONS BUDGET
State Spending on Juvenile Corrections and Related Programs

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005 Total State Funds (in actual dollars) (General Fund and Non-General Fund)	COMMENTS
1. Juvenile Detention/Correction Centers Programs: <i>Please identify any special spending on substance abuse prevention and treatment programs and facilities separately, but this number should also be included within the total spending for juvenile detention/correction centers programs.</i>		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		

11. ADULT CORRECTIONS PROGRAMS

within

CORRECTIONS

CORRECTIONS BUDGET

Instructions for Adult Corrections Programs

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.
2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for adult corrections programs within the corrections budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.
3. Include **all** program costs (not just substance abuse related costs) including the costs of caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, and contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel; a rough estimate is all that is necessary.
4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.
5. To avoid double counting, list only the spending for the programs that fall within the human/social services budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).
6. Do not include publicly funded health insurance programs. (In particular, do not include Medicaid spending).
7. Break out your spending into the following categories, if possible: drugs, alcohol and tobacco.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

CORRECTIONS BUDGET

Adult Corrections Program Descriptions

Total Prison Costs

Description: Any facility that is set up for the purpose of incarcerating individuals who have committed crimes. Included within these costs are all facilities costs and all psychiatric, education and job-training programs and central processing facilities that provide initial examination and evaluation of prisoners. Any substance abuse prevention and treatment programs and facilities for prisoners also are included.

Special Instructions: Please identify any special spending on substance abuse prevention and treatment programs and facilities **separately**. This number, however, should also be included within the **total** prison costs.

Parole/Early Release and Other Similar Programs

Description: Any program that manages the early release of prisoners. This includes programs that fund activities involved in the parole of prisoners and monitoring the parolees once they are released. Any substance abuse prevention and treatment programs and facilities for parolees also are included.

Special Instructions: Please identify any special spending on substance abuse prevention and treatment programs and facilities **separately**. This number, however, should also be included within the **total spending** for parole/early release and other similar programs.

Probation and Other Alternatives to Incarceration

Description: Any program that supervises and manages persons convicted of a crime but not incarcerated. Facilities that act as an alternative to the incarceration of individuals in prison also are included. This also includes programs that provide job training or education for these individuals. Any substance abuse prevention and treatment programs and facilities for individuals on probation also are included.

Special Instructions: Please identify any special spending on substance abuse prevention and treatment programs and facilities separately. This number, however, should also be included within the total spending for probation and other alternatives to incarceration.

Categorical Aid to Localities

Description: Any funding to localities for corrections activities.

CORRECTIONS BUDGET

State Spending on Adult Corrections Programs

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005 Total State Funds (in actual dollars) (General Fund and Non-General Fund)	COMMENTS
1. Total Prison Costs: <i>Please identify any special spending on substance abuse prevention and treatment programs and facilities separately, but this number should also be included within the total prison costs.</i>		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
2. Parole/Early Release and Other Similar Programs: <i>Please identify any special spending on substance abuse prevention and treatment programs and facilities separately, but this number should also be included within the total spending for parole/early release and other similar programs.</i>		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
3. Probation and Other Alternatives to Incarceration: <i>Please identify any special spending on substance abuse prevention and treatment programs and facilities separately, but this number should also be included within the total spending for probation and other alternatives to incarceration.</i>		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
4. Categorical Aid to Localities		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		

12 PUBLIC SAFETY PROGRAMS

within

PUBLIC SAFETY BUDGET

PUBLIC SAFETY BUDGET

Instructions for Public Safety Programs

Instructions: Provide the amount of state dollars budgeted in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.

2. Separately identify current year capital spending (actuals or estimated actuals, **not appropriations**) for public safety programs within the public safety budget. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. (For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.)

3. Include **all** program costs (not just substance abuse-related costs) including the cost of law enforcement personnel, the cost of state program administrators and/or policy analysts who spend the majority of their time on this program and the cost for contacts for services. Please include the cost of fringe benefits for all state personnel. A rough estimate of fringe benefit costs is all that is necessary.

4. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.

5. To avoid double counting, list only the spending for the programs that fall within the public safety budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

PUBLIC SAFETY BUDGET

Public Safety Program Descriptions

Special Drug Enforcement Programs

Description: Any program that is intended to eliminate the interstate, intrastate, and international movement of illegal drugs. This may include drug interdiction activities, demand reduction, or special task forces.

Highway Safety and Accident Prevention Programs

Description: Any program that is intended to promote highway safety and reduce the number of highway accidents. This would include broad public education and media campaigns, sobriety checkpoints, and DWI/DUI initiatives.

Special Instructions: If this spending is included in the State Highway Patrol spending, please indicate this in the comments.

State Highway Patrol

Description: Any personnel and other costs involved in the state patrol of highways.

Local Law Enforcement Programs

Description: Any program that provides resources to local districts for law enforcement.

PUBLIC SAFETY BUDGET

State Spending on Public Safety Programs

Agency Name: _____ Total State Budget for this Agency: _____

	AMOUNT BUDGETED FY 2005	
	Total State Funds (in actual dollars) (General Fund and Non-General Fund)	
PROGRAM NAME		COMMENTS
1. Special Drug Enforcement Programs		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
2. Highway Safety and Accident Prevention Programs: <i>If this spending is included in State Highway Patrol spending, please indicate this in the comments.</i>		
Specific Program Names:		
a. State Match for Highway Safety		
b.		
c.		
d.		
e.		
3. State Highway Patrol		
Specific Program Names:		
a. State Highway Patrol		
b.		
c.		
d.		
e.		
4. Local Law Enforcement Programs		
Specific Program Names:		
a. Drug Law Enforcement Program		
b.		
c.		
d.		
e.		

13. JUDICIARY PROGRAMS

within

JUDICIARY BUDGET

JUDICIARY BUDGET

Instructions for Judiciary Programs

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending. Do not include federal or local spending.
2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for judiciary programs within the judiciary budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. It is **not** necessary to separate capital costs for each separate facility. (For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.)
3. Include **all** state program costs (not just substance abuse related costs) including court personnel, caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, supplies, contracted out services, and any grants to individuals or families. Please include the cost of fringe benefits for all state personnel. A rough estimate of fringe benefit costs is all that is necessary.
4. If it is not possible to give state spending on the court system in the following program groupings, please estimate the costs. For example, to derive a family court spending number, it may be possible to determine the share of total caseload represented by family courts and apply that percentage to total state court spending. When the spending is estimated please identify it as an estimate and indicate how the number was derived.
5. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.
6. To avoid double counting, list only the spending for the programs that fall within the judiciary budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).
7. Break out your spending into the following categories, if possible: drugs, alcohol and tobacco.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

JUDICIARY BUDGET

Judiciary Program Descriptions

Drug Courts and Other Special Programs for Violations of Drug Laws

Description: Any state costs associated with the drug court system.

Criminal Courts

Description: Any state costs associated with the criminal court system.

Family Courts

Description: Any state costs associated with the family court system.

State Aid to Localities for Criminal Courts, Family Courts and Drug Courts

Description: Any funds given to localities for their criminal courts, family courts and drug courts.

JUDICIARY BUDGET

State Spending on Judiciary Programs

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005 Total State Funds (in actual dollars) (General Fund and Non-General Fund)	COMMENTS
1. Drug Courts and Other Special Programs for Violations of Drug Laws		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
2. Criminal Courts		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
3. Family/Juvenile Courts		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
4. State Aid to Localities for Criminal Courts, Family/Juvenile Courts and Drug Courts		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		

14. REGULATION AND COMPLIANCE ACTIVITIES

within

REGULATION AND COMPLIANCE BUDGET

REGULATION AND COMPLIANCE BUDGET

Instructions for Regulation and Compliance Activities

Instructions: Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for the following programs on the attached worksheet.

1. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.

2. Separately identify capital spending (actuals or estimated actuals, not appropriations) **for regulation and compliance activities within the regulation and compliance budget**. Capital spending includes any spending that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds. (For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.)

2. Include **all** program costs (not just substance abuse related costs) including the costs of caseworkers or service providers, program administrators and/or policy analysts who spend the majority of their time on this program, and contracted out services. Please include the cost of fringe benefits for all state personnel; a rough estimate is all that is necessary.

3. If several small programs fall under one broad program heading, aggregate spending if it is easier to do so.

4. To avoid double counting, list only the spending for the programs that fall within the regulatory/compliance budget (see attached survey overview). Other department spending will be requested from other departments (e.g. health).

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at <KKeneipp@casacolumbia.org>.

REGULATION AND COMPLIANCE

Regulation and Compliance Activity Descriptions

Alcohol and Tobacco Licensing and Control Boards

Description: Any board or governing body that enforces alcohol and tobacco regulations and/or issues alcohol and tobacco licenses.

Collection of Alcohol and Tobacco Taxes

Description: Total spending on state personnel who are responsible for collecting alcohol and tobacco taxes.

REGULATION AND COMPLIANCE BUDGET
State Spending on Regulation and Compliance Activities

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT BUDGETED FY 2005 Total State Funds (in actual dollars) (General Fund and Non-General Fund)	COMMENTS
1. Alcohol and Tobacco Licensing and Control Boards		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		
2. Collection of Alcohol and Tobacco Taxes		
Specific Program Names:		
a.		
b.		
c.		
d.		
e.		

15. CAPITAL SPENDING

within

CAPITAL SPENDING BUDGET

CAPITAL SPENDING BUDGET

Instructions for Capital Spending

Instructions: Please complete this section **only if capital spending is not accounted for in other program worksheets**. Provide the amount of state dollars spent in the fiscal year ending in 2005 (FY 2005), in actual dollars (as in \$0,000,000), for prisons (both juvenile and adult facilities), substance abuse facilities, mental health facilities, and facilities for the developmentally disabled.

1. Include any spending (actuals or estimated actuals, not appropriations) that is paid for out of current general taxes or dedicated taxes (“Pay As You Go”), capital spending from bond proceeds (Bond Proceeds), and interest paid out for bonds already issued (Debt Service). Capital spending from bond proceeds includes capital projects funded by proceeds of GO bonds, revenue bonds, certificates of participation or other state-backed bonds.
2. Include state General Fund and state non-General Fund spending, including categorical state funding to localities. Do not include federal or local spending.
3. Include the spending on new construction, capital improvements, and equipment.
4. If you do not have accurate information for each category, please provide a rough approximation. When the spending is estimated please identify it as an estimate and indicate how the number was derived.
5. It is **not** necessary to separate capital costs for each separate facility. For example, if it is possible to express prison capital costs in the aggregate rather than for each prison individually please do so.

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at <KKeneipp@casacolumbia.org>.

CAPITAL SPENDING BUDGET

Agency Name: _____ Total State Budget for this Agency: _____

PROGRAM NAME	AMOUNT EXPENDED FY 2005		COMMENTS
	Total State Funds (in actual dollars) (General Fund and Non-General Fund)		
1. Adult Prisons	"Pay As You Go"		
	Bond Proceeds		
	Debt Service		
2. Juvenile Correctional Facilities	"Pay As You Go"		
	Bond Proceeds		
	Debt Service		
3. Substance Abuse Facilities	"Pay As You Go"		
	Bond Proceeds		
	Debt Service		
4. Mental Health Facilities	"Pay As You Go"		
	Bond Proceeds		
	Debt Service		
5. Developmental Disabilities Facilities	"Pay As You Go"		
	Bond Proceeds		
	Debt Service		

16. STATE WORKFORCE
within
STATE WORKFORCE BUDGET

STATE WORKFORCE BUDGET

Instructions for State Government Workforce Spending

Instructions: Provide the following information from FY 2005 regarding your state's government workforce in order to calculate state employee productivity losses due to substance abuse. Please provide estimates where you are unable to provide actual numbers.

1. Please identify the total number of state government employees at the end of FY 2005.
2. Please identify the total payroll for your state's government employees.
3. Please identify the percentage of male and female state government employees.
4. Please provide the percentage of your state government workforce which is between 18 and 29 years old, between 30 and 50 years old, and between 51 and 64 years.
5. Please identify total fringe benefits for your state's government employees.
6. Do not include publicly funded health insurance programs. (In particular, do not include Medicaid spending).

If you have any questions and/or problems with completing the survey, please contact Kristen Keneipp, Research Associate, The National Center on Addiction and Substance Abuse (CASA) at Columbia University, at (212) 841-5214 between 9:00 a.m. and 5:00 p.m. Eastern Time. You may also email Kristen at KKeneipp@casacolumbia.org.

STATE WORKFORCE BUDGET
State Spending on State Government Workforce

We are requesting information on your state's government workforce to do a calculation of state employee productivity losses due to substance abuse. Please answer the following questions for the state workforce in FY 2005. Please estimate if unavailable.

Number of Employees:	
Total Payroll:	
Gender Breakdown:	
Percent Male:	
Percent Female:	
Age Composition:	
Percent Age 18-29:	
Percent Age 30-50:	
Percent Age 51-64:	
Other:	
Total Fringe Benefits:	
Substance Abuse Share of Employee Assistance Programs:*	

* Note: If you cannot estimate the substance abuse share, please provide total spending on these programs and describe the extent to which these programs are targeted to substance abuse.